

## UBS – Mumbai

- JD for Compliance roles:

Are you an expert when it comes to performing second-line-of-defense activities? Do you 'think on your feet', compliance objectives with legal, regulatory and ethical considerations? Do you advocate for the importance of compliance and operational risks?

We're looking for Compliance Officer to:

- act as a subject-matter expert in compliance and operational risk control (C&ORC) for India BSC, supporting them in managing risk
- ensure a profound C&ORC framework is in place and kept up-to-date with policies and procedures as well as legal, regulatory and business standards
- deliver independent risk assessment and provide effective challenge to the 1st and 2nd line of defense in relation to consequential risks and the risk frameworks
- provide business management with training, frameworks, information and insights required to manage risk
- Review of Operational risk issues. Check and challenge as per the Operational risk framework and timely completion

Co-ordination with senior management to ensure healthy control environment. Continuous review of the Control environment to ensure it is fit-for-purpose, complete & accurate